



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/733,537	12/07/2000	Philip R. Graham	CSCO-86861	1789

7590 05/08/2006

WAGNER, MURABITO & HAO LLP
Third Floor
Two North Market Street
San Jose, CA 95113

EXAMINER

HOFFMAN, BRANDON S

ART UNIT	PAPER NUMBER
----------	--------------

2136

DATE MAILED: 05/08/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/733,537

Applicant(s)

GRAHAM, PHILIP R.

Examiner

Brandon S. Hoffman

Art Unit

2136

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 16 February 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-19 are pending in this office action.
2. Applicant's arguments, filed February 16, 2006, have been fully considered but they are not persuasive.

Rejections

3. The text of those sections of Title 35, U.S. Code not included in this office action can be found in a prior Office action.

Claim Rejections - 35 USC § 103

4. Claims 1, 2, 4, 5, 7-12, and 14-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gupta et al. (U.S. Patent No. 6,389,532) in view of Boyle et al. (U.S. Patent No. 6,212,636).

Regarding claim 1, Gupta et al. teaches a digital signature method for a network infrastructure copy protection system (fig. 1), comprising:

- Applying a digital signature to a digital content file (col. 3, line 41-48);
- Transmitting the content file across a distributed computer network (col. 3, lines 49 and 50);

Art Unit: 2136

- Examining the content file to determine whether the content file includes the digital signature, the examining performed within the distributed computer network (col. 3, lines 50-54);
- Transmitting the content file when the content file includes the digital signature (col. 4, lines 7-11);
- Blocking transmission of the content file when the content file does not include the digital signature **to prevent unauthorized downloading of copyrighted material** (col. 4, lines 12 and 13).

Gupta et al. does not teach blocking transmission of the content file when the data comprising the content file is a restricted data format **to prevent unauthorized downloading of copyrighted material**.

Boyle et al. teaches blocking transmission of the content file when the data comprising the content file is a restricted data format **to prevent unauthorized downloading of copyrighted material** (col. 1, lines 36-40).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine blocking transmission of the content file when the data comprising the content file is a restricted data format, as taught by Boyle et al., to the digital signature method of Gupta et al. It would have been obvious for such modifications because blocking restricted data formats prevent network congestion. By

Art Unit: 2136

only allowing text and other small data files to transmit, the burden of transmitting video or audio is eliminated. This is especially important when the receiving device can't handle the restricted data type (see col. 1, lines 38-40 of Boyle et al.).

Regarding claim 7, Gupta et al. teaches a restricted data format method for a network infrastructure copy protection system, comprising:

- Receiving a digital content file for transmission across a distributed computer network (fig. 7, ref. num 702);
- Examining data comprising the content file, the examining performed within the distributed computer network (fig. 7, ref. num 704 and 706).

Gupta et al. does not teach examining data comprising the content file to determine whether the content file includes a restricted data format. Gupta et al. also does not teach transmitting the data file if the data comprising content file does not include the restricted data format, and blocking the file if the data comprising content file does include the restricted data format **to prevent unauthorized downloading of copyrighted material.**

Boyle et al. teaches examining data comprising the content file to determine whether the content file includes a restricted data format, transmitting the data file if data comprising the content file does not include the restricted data format, and blocking

Art Unit: 2136

the file if data comprising the content file does include the restricted data format **to prevent unauthorized downloading of copyrighted material** (col. 1, lines 36-40).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine examining data comprising the content file to determine whether the content file includes a restricted data format, transmitting the data file if data comprising the content file does not include the restricted data format, and blocking the file if data comprising the content file does include the restricted data format, as taught by Boyle et al., to the restricted data format method of Gupta et al. It would have been obvious for such modifications because examining the content file and transmitting based on the lack of the restricted data format or blocking based on the presence of the restricted data format prevents network congestion. By only allowing text and other small data files to transmit, the burden of transmitting video or audio is eliminated. This is especially important when the receiving device can't handle the restricted data type (see col. 1, lines 38-40 of Boyle et al.).

Regarding claim 2, the combination of Gupta et al. in view of Boyle et al. teaches the digital signature is configured to identify the sender of the digital content file (see col. 3, lines 44-46 of Gupta et al.).

Regarding claims 4 and 11, the combination of Gupta et al. in view of Boyle et al. teaches the distributed computer network is the Internet (see col. 5, lines 15-20 of Gupta et al.).

Regarding claims 5 and 12, the combination of Gupta et al. in view of Boyle et al. teaches the examining is performed by a plurality of routers within the distributed computer network (see fig. 1, ref. num 104 of Gupta et al.).

Regarding claims 8-10, and 14-16, the combination of Gupta et al. in view of Boyle et al. teaches the restricted data format is an MP3 data format, a MPEG video data format, and a Word document format (see col. 1, lines 36-40 of Boyle et al.).

Claims 3, 6, 13, and 17-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gupta et al. (USPN '532) in view of Boyle et al. (USPN '636), and further in view of Gibbs et al. (U.S. Patent No. 6,085,321).

Regarding claim 3, the combination of Gupta et al. in view of Boyle et al. teaches all of the subject matter of claim 1, as discussed above. However, the combination of Gupta et al. in view of Boyle et al. does not disclose the step of logging the digital signature applied to the content file within the distributed computer network when the content file is transmitted across the distributed computer network.

Gibbs et al. teaches the step of logging the digital signature applied to the content file within the distributed computer network when the content file is transmitted across the distributed computer network (fig. 4, ref. num 432 and col. 6, lines 17-26).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine the step of logging the digital signature applied to the content file within the distributed computer network when the content file is transmitted across the distributed computer network, as taught by Gibbs et al., to the digital signature method of Gupta et al. in view of Boyle et al. It would have been obvious for such modifications because the step of logging the digital signature applied to the content file within the distributed computer network when the content file is transmitted across the distributed computer network would keep track of the status information and other information about the creation and authentication of digital signatures (see col. 3, lines 63-66 of Gibbs et al.).

Regarding claims 6 and 13, the combination of Gupta et al. in view of Boyle et al. teaches all of the subject matter of claims 1 and 7, respectively, as discussed above. However, Gupta et al. in view of Boyle et al. does not disclose the examining is performed by a plurality of cache engines within the distributed computer network.

Gibbs et al. teaches the examining is performed by a plurality of cache engines within the distributed computer network (fig. 4, ref. num 420 and col. 7, lines 13-28).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to use a plurality of cache engines to perform the examining within the distributed computer network, as taught by Gibbs et al., with the methods of Gupta et al. in view of Boyle et al. It would have been obvious for such modifications because the use of a plurality of cache engines to perform examining within the distributed computer network would allow faster examining of data as it is passed over the distributed computer network (see col. 7, lines 15-25 of Gibbs et al.).

Regarding claim 17, Gupta et al. teaches a network infrastructure protection method for detecting and denying transmission of restricted data formats, comprising:

- Receiving a digital content file for transmission across a distributed computer network (fig. 7, ref. num 702);
- Examining data comprising the content file, the examining performed within the distributed computer network (fig. 7, ref. num 704 and 706).

Gupta et al. does not teach examining data comprising the content file to determine whether the content file includes a restricted data format, wherein the content file is free of a digital signature. Gupta et al. also does not teach transmitting the data file if the data comprising content file does not include the restricted data format, and blocking the file if the data comprising content file does include the restricted data format **to prevent unauthorized downloading of copyrighted material.**

Boyle et al. teaches examining data comprising the content file to determine whether the content file includes a restricted data format, wherein the content file is free of a digital signature, transmitting the data file if data comprising the content file does not include the restricted data format, and blocking the file if data comprising the content file does include the restricted data format **to prevent unauthorized downloading of copyrighted material** (col. 1, lines 36-40).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine examining data comprising the content file to determine whether the content file includes a restricted data format, transmitting the data file if data comprising the content file does not include the restricted data format, and blocking the file if data comprising the content file does include the restricted data format, as taught by Boyle et al., to the network infrastructure of Gupta et al. It would have been obvious for such modifications because examining the content file and transmitting based on the lack of the restricted data format or blocking based on the presence of the restricted data format prevents network congestion. By only allowing text and other small data files to transmit, the burden of transmitting video or audio is eliminated. This is especially important when the receiving device can't handle the restricted data type (see col. 1, lines 38-40 of Boyle et al.).

The combination of Gupta et al. in view of Boyle et al. does not teach using at least one router configured to log digital signatures related to the content file. However, Gibbs et al. teaches using at least one router configured to log digital signatures related to the content file (fig. 4, ref. num 432 and col. 6, lines 17-26).

It would have been obvious to one of ordinary skill in the art, at the time the invention was made, to combine using a router configured to log digital signatures related to the content file, as taught by Gibbs et al., to the network infrastructure of Gupta et al./Boyle et al. It would have been obvious for such modifications because the step of logging the digital signature applied to the content file within the distributed computer network when the content file is transmitted across the distributed computer network would keep track of the status information and other information about the creation and authentication of digital signatures (see col. 3, lines 63-66 of Gibbs et al.).

Regarding claims 18 and 19, the combination of Gupta et al. in view of Boyle et al./Gibbs et al. teaches the restricted data format is an MP3 data format, a MPEG video data format, and a Word document format (see col. 1, lines 36-40 of Boyle et al.).

Response to Arguments

5. Applicant amends claims 1, 7, and 17.
6. Applicant argues:

- a. Gupta et al. does not teach transmitting a content file across a distributed network, examining the content file to determine if the content file includes a digital signature, transmitting the content file if the content file includes the digital signature, and blocking transmission of the content file if the content file contains a restricted data format to prevent unauthorized downloading of copyrighted material (page 7, last paragraph through page 9, first paragraph).
- b. Boyle et al. does not teach the above limitations (page 9, second paragraph through page 10, first paragraph).
- c. Bruck et al. does not teach the above limitations (the rest of page 10).
- d. Gibbs et al. does not teach the above limitations (page 11).

Regarding argument (a), examiner disagrees with applicant. Gupta et al. clearly teaches all the limitations that are argued by applicant. Gupta et al. is silent on blocking transmission if the content contains a restricted data format, but Gupta et al. was not cited for such a teaching, Boyle et al. was cited for this teaching. As for the remaining limitations, Gupta et al. teaches transmitting the packet with the digital signature applied (col. 3, lines 49-50), examining the content file to determine if a digital signature is in place (col. 3, lines 50-54), and transmitting the content file if the digital signature is present (col. 4, lines 7-11).

Regarding argument (b), examiner disagrees with applicant. Boyle et al. is cited for teaching the last limitation, namely, blocking transmission if the content file contains a restricted data format. No other independent claim limitations were cited to by taught

by Boyle et al. The blocking step is clearly taught at col. 1, lines 36-40. The amended portion of **to prevent unauthorized downloading of copyrighted material** was just added, and is therefore moot. However, separation of data types, as taught by Boyle et al. can be implemented for any purpose. For example, the data types may be separated to prevent unauthorized downloading, or to prevent transmission of data that can not be viewed by a user's limited capability device.

Regarding argument (c), examiner disagrees with applicant. Bruck et al. is no longer used as a reference, therefore, this argument is moot.

Regarding argument (d), examiner disagrees with applicant. Gibbs et al. was never cited as teaching any of the above argued limitations.

Conclusion

7. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

Art Unit: 2136

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brandon S. Hoffman whose telephone number is 571-272-3863. The examiner can normally be reached on M-F 8:30 - 5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R. Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Brandon R/L
BH

CHRISTOPHER REVAK
PRIMARY EXAMINER

CR 5/4/06